

SUITE 1030

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INTELLECTUAL PROPERTY LAW



TIMOTHY E. NEWHOLM DIRECT DIAL: 414-225-1667 TEN@BOYLEFRED.COM



November 30, 2001

U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

ATTN: BOX PATENT APPLICATION

Re: Utility Patent Application Transmittal

Inventor(s): Don VAUGHAN and Paul D. NIELSEN

Title: Quiet Flow Control Washer for Water Softener or the Like

Assignee: Clack Corporation

**USPTO Customer Account No.: 23598** 

Attorney Docket No.: 31.043

Dear Sir:

Enclosed is our check in the amount of \$512.00 in payment of the government filing fee for the enclosed United States patent application, which application includes 16 pages of specification, 22 claims on 7 sheets, 7 Drawings on 4 sheets, and an Abstract of the Disclosure, and an executed Declaration are enclosed. A Fee Transmittal Form PTO/SB/17 (11/00) is also enclosed.

Applicant is a small entity within the meaning of 37 CFR §§ 1.9 and 1.27 and hereby claims small entity status.

I hereby request that the attached application **NOT** be published under 35 U.S.C 122(b). An executed Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) is also enclosed.

An Information Disclosure Statement (IDS), Form PTO-1449, and copies of the documents are enclosed.

Also enclosed is an Assignment, which was executed concurrently with the Declaration and which is being filed concurrently with the application, a recordation cover sheet, and our \$40.00 check in payment of the recording fee.

U.S. Patent and Translark Office November 30, 2001 Page 1

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,

Timothy E. Newholm Registration No. 34,400

PATENT TRADEHARK OFFICE

## Certification Under 37 CFR 1.10

Express Mail Label No: EF252000341US

Date of Deposit: November 30, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Director of the U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Jedi A Calderon

TOTAL AMOUNT OF PAYMENT

## FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

(\$512)

Complete if Known						
Application Number						
Filing Date	November 30, 2001					
First Named Inventor	Vaughan					
Examiner Name	Unassigned					
Group Art Unit	Unassigned					
Attomey Docket No.	31.043					

The Commissioner is hereby authorized to charge indicated less and credit any overpayments to:   Solitifo	METHOD OF PAYMENT		FEE CALCULATION (continued)						
Deposit   Fee Paid   Code			3. ADDITIONAL FEES						
People   Fee   F	indicated fees and credit any overpayments to:		_						
Sol 170   Sol	Democil	Fee		Fee					
Deposition   Royle, Frectrickson, Newhorn, Stein & Gratz S.C.   105   130   205   65   Surcharge – late filing fee or oath   Royle	Account   50-11/0					Fee Description	Fee Paid		
Charge Am Additional Fee Required Under 3 CFR 11 and 70	Deposit Account Boyle, Fredrickson, Newholm, Stein & Gratz S.C.		130	205	65	Surcharge – late filing fee or oath			
Special claims small entity status.   139   130   139   130   13	Charge Any Additional Fee Required		50	227	25				
2	Applicant claims small entity status.		130	139	130				
Name			2,520	147	2,520	For filing a request for ex parte reexamination			
The composition of the composi	Y Charle Credit Cord Money Other	l	920*	112	920*				
16		113	1,840*	113	1,840°	Requesting publication of SIR after			
Large Entity   Fee   F	1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month			
Total Claims		116	400	216	200	Extension for reply within second month			
101   740   201   370   Utility filing fee   370   118   1.440   218   720   Extension for reply within fourth month   106   330   206   165   Design filing fee   107   510   207   255   Plant filing fee   108   740   208   370   Reissue filing fee   119   320   219   160   Notice of Appeal   114   160   214   80   Provisional filing fee   120   320   220   160   Filing a brief in support of an appeal   121   280   221   140   Request for oral hearing   138   1.510   Petition to revive – uniavoidable   110   240   55   Petition to revive – uniavoidable   110   240   55   Petition to revive – uniavoidable   110   240   55   Petition to revive – uniavoidable   110   240   240   Petition to revive – uniavoidable   110   240   240   Petition to revive – unintentional   110   18   203   9   Claims in excess of 20   120   130   122   130   Petitions to the Commissioner   120   130   Petitions to the Commissioner   120   130   Petitions to the Commissioner   120   130   Petitions to the Commissioner   130   122   130   Petitions to Information Disclosure Stmt   120   130   Petitions to Information Disclosure Stmt   120   130   Petitions to Information Disclosure Stmt   130	Fee Fee Fee Fee Description	117	920	217	460	Extension for reply within third month	1 (8		
106   330   206   165   Design fling fee   107   510   207   255   Plant filing fee   119   320   219   160   Notice of Appeal   119   320   160   Filing a brief in support of an appeal   120   320   320   220   160   Filing a brief in support of an appeal   121   220   220   160   Filing a brief in support of an appeal   121   220   220   160   Filing a brief in support of an appeal   121   220   220   160   Filing a brief in support of an appeal   121   220   220   160   Filing a brief in support of an appeal   121   220   220   160   Filing a brief in support of an appeal   121   120   240   240   Petition to revive – unavoidable   121   120	270	118-	1,440	218	720	Extension for reply within fourth month			
107   510   207   255   Plant filing fee   118   320   219   160   Notice of Appeal   120   320   220   160   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   120   320   120   160   Filing a brief in support of an appeal   120   320   160   Filing a brief in support of an appeal   120   320   120   160   Filing a brief in support of an appeal   120		128	1,960	228	980	Extension for reply within fifth month			
108		119	320	219	160	Notice of Appeal			
114	· ·	120	320	220	160	Filing a brief in support of an appeal			
138		121	280	221	140	· .			
2. EXTRA CLAIM FEES    141   1.280   241   640   Petition to revive — unintentional									
Total Claims   22   -20** =   2   x   9   =   18   143   460   243   230   Design issue fee   144   620   244   310   Plant issue fee   122   130   122   130   Petitions to the Commissioner   123   50   123   50   Processing fee under 37 CFR 1.17(q)   126   180   180   Submission of Information Disclosure Stmt   128   129   130   120   130   120   130   120   130   120   130   120   130   120   130   120   130   120   130   120   130   120   130   120   130   120   130   120   130   120   130   120   130   120   130   120   130   Petitions to the Commissioner   123   50   123   50   Processing fee under 37 CFR 1.17(q)   126   180   126   180   Submission of Information Disclosure Stmt   120   130	SUBTOTAL (1) (\$370)								
Total Claims		141	1,280	241	640		<b>——</b>		
Total Claims		142	1,280	242	640	Utility issue fee (or reissue)			
Claims Multiple Dependent	Total Claims 22 -20**= 2 x 9 = 18	143	460	243	230	Design issue fee			
Multiple Dependent    122   130   122   130   Petitions to the Commissioner		144	620	244	310	Plant issue fee			
Large Entity Fee Fee Fee Fee Code (\$)  103 18 203 9 Claims in excess of 20  104 280 204 140 Multiple dependent claims over original patent  109 84 209 42 "Reissue independent claims over original patent  100 18 210 9 "Reissue claims in excess of 20  SUBTOTAL (2) (\$102)  123 50 123 50 Processing fee under 37 CFR 1.17(q)  126 180 126 180 Submission of Information Disclosure Stmt  Recording each patent assignment per property (times number of properties)  Filing a submission after final rejection (37 CFR § 1.129(a))  For each additional invention to be examined (37 CFR § 1.129(b))  179 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))  189 900 169 900 Request for expedited examination of a design application  Other fee (specify)	Multiple =	122	130	122	130	Petitions to the Commissioner			
Fee Fee Fee Fee Fee Code (\$) Code (\$) Fee Description  103 18 203 9 Claims in excess of 20  102 84 202 42 Independent claims in excess of 3  104 280 204 140 Multiple dependent claims over original patent  109 84 209 42 "Reissue independent claims over original patent  100 18 210 9 "Reissue claims in excess of 20  SUBTOTAL (2) (\$102)  100 120 100 120 100 Submission of material resolutions in excess of 3 and over original patent  120 100 120 100 Submission of material resolutions in excess of 3 and over original patent  120 100 120 100 Submission of material resolutions in excess of 3 and over original patent  120 100 120 100 Submission of material resolutions in excess of 3 and over original patent  120 100 120 100 Submission of material resolutions in excess of 3 and over original patent  120 100 120 100 Submission of material resolutions in excess of 20 and over original patent  120 100 120 100 Submission of material resolutions in excess of 20 and over original patent  120 100 120 100 Submission of material resolutions in excess of 20 and over original patent  120 100 120 100 Submission of material resolutions in excess of 20 and over original patent  120 100 120 100 120 100 100 100 100 100		123	50	123	50	Processing fee under 37 CFR 1.17(q)			
103 18 203 9 Claims in excess of 20  102 84 202 42 Independent claims in excess of 3  104 280 204 140 Multiple dependent claim, if not paid  109 84 209 42 "Reissue independent claims over original patent  110 18 210 9 "Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$102)  581 40 581 40 Recording each patent assignment per property (times number of properties)  Filing a submission after final rejection (37 CFR § 1.129(a))  For each additional invention to be examined (37 CFR § 1.129(b))  179 740 279 370 Request for Continued Examination (RCE)  169 900 169 900 Request for expedited examination of a design application  Other fee (specify)	Fee Fee Fee Fee Fee Description	126	180	126	180	Submission of Information Disclosure Stmt			
102 84 202 42 Independent claims in excess of 3 (37 ČFR § 1.129(a))  104 280 204 140 Multiple dependent claims over original patent  109 84 209 42 "Reissue independent claims over original patent over original patent of and over original patent of a design application (SUBTOTAL (2) (\$102)		581	40	581	40	property (times number of properties)	40		
109 84 209 42 "Reissue independent claims over original patent"  110 18 210 9 "Reissue claims in excess of 20 and over original patent"  SUBTOTAL (2) (\$102)  149 740 279 370 Request for Continued Examination (RCE)  169 900 169 900 Request for expedited examination of a design application  Other fee (specify)	102 84 202 42 Independent claims in excess of 3	146	740	246	370				
original patent  110 18 210 9 **Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$102)  Original patent  169 900 169 900 Request for expedited examination of a design application  Other fee (specify)	104 280 204 140 Multiple dependent claim, if not paid	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))			
110 18 210 9 "Reissue claims in excess of 20 and over original patent"  SUBTOTAL (2) (\$102)  169 900 169 900 Request for expedited examination of a design application  Other fee (specify)		179	740	279	370	Request for Continued Examination (RCE)			
(\$102)	110 18 210 9 **Reissue claims in excess of 20		900	169	900				
(\$102)			fee (spec	cify)			'		
					ee Paid	SUBTOTAL (3) (\$40)			

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Timothy E. Newholm	Registration No. (Attorney/Agent)	34,400	Telephone	(414) 225-9755
Signature	m-An			Date	November 30, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time witl vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant commissioner for Patents, Washington, DC 20231.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

VAUGHAN et al.

For:

Quiet Flow Control Washer for Water Softener or the Like

## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

ATTN: BOX PATENT APPLICATION

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C 122(b).

Respectfully submitted,

Timothy E. Newholm Registration No. 34,400

Dated: November 30, 2001

**BOYLE FREDRICKSON NEWHOLM** STEIN & GRATZ S.C. 250 Plaza, Suite 1030 250 East Wisconsin Avenue Milwaukee, WI 53202 Telephone: (414) 225-9755

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